



Registered and Trademarked

## Registered Financial Planners Institute Designation & Membership Application - Working Experience Form

### 美國註冊財務策劃師協會 資格及會員申請 - 會員工作經驗申報表

Please write clearly 請用英文清楚填寫以下資料：

Name in English 英文姓名：\_\_\_\_\_ 中文姓名：\_\_\_\_\_

Present Employer 現時僱主：\_\_\_\_\_

Current Position 現時職位：\_\_\_\_\_

Years of Working Experience 工作年資：  
 below 3 years 三年以下  
 3-5 years 三至五年  
 6-10 years 六至十年  
 more than 10 years 十年以上

Nature of Business 工作性質：  
 Banking 銀行  
 Finance 金融  
 Insurance 保險  
 Accounting 會計  
 Legal 法律  
 Others, please specify 其他，請注明 \_\_\_\_\_  
 Securities 證券  
 Financial Planning 財務策劃  
 Advisory 諮詢

Details of Work History (in chronological order) 過往工作經驗 (按任職先後順序列出)

Year 年份	Previous Employer 過往曾服務機構名稱	Brief description of work duties 工作簡介	Position 職銜名稱

Signature 簽署 \_\_\_\_\_

Date 日期 \_\_\_\_\_

Please name training completed in any of the previous fields mentioned. Provide dates and number of credit hours received (please include company training) 請提供過去曾參與有關上述資格的培訓課程資料、日期及其所獲得的學分〔包括公司內部培訓〕：

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Please list your highest education completed 請填寫您的最高學歷 HS 中學 \_\_\_\_\_  
Graduate Degree 大學 \_\_\_\_\_ Specialty School/Other 專科學校或其他 \_\_\_\_\_

Please list other organizations/designations that you have 請填寫您所持有的其他專業資格： \_\_\_\_\_

List below (or on attached piece of paper) the names and addresses of three (3) references who can attest to your character and financial planning ability in your respective field 請於下列欄位 (或另備附件) 提供三名諮詢人姓名及地址以供查核您的品格及對財務策劃的能力：

NAME 姓名	ADDRESS 地址	PHONE# 電話號碼
_____	_____	_____
_____	_____	_____
_____	_____	_____

Has your membership/license to any organization ever been suspended or revoked? YES NO  
您在其他機構所獲得的會籍或牌照會否被中止或取消? 有 沒有

If yes, give brief explanation 如填寫“有”，請說明原因 \_\_\_\_\_

Has there ever been any disciplinary action taken against you? YES NO  
您以往曾被紀律處分嗎? 有 沒有

If yes, give brief explanation 如填寫“有”，請說明原因 \_\_\_\_\_

Please give any other information which you feel may be helpful, i.e. educational background, methods used/list of represented clients (if available, attach a list of credentials you submit to your clients) 請提供其他您認為對您的申請有幫助的資料，如學歷背景、學習方式等：

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### Please Read the Following Statement carefully before signing 請在簽署前細閱以下聲明：

I hereby submit this application to the Registered Financial Planners Institute and verify that all information to the best of my knowledge is accurate and complete. If approved, I shall abide by the rules, regulations and Code of Ethics of the Registered Financial Planners Institute. I also agree to attend a minimum of 20 hours of continuing education every three (3) years in my respective field and supply proof of credits earned to the Institute during the required reporting period. I also understand that my names, specialty and contact information will be shown on the Internet unless specifically requested. If not approved, I understand that I will be refunded my application fee.

本人現申請成為美國註冊財務策劃師協會會員並聲明所申報資料是準確及完整。如本人被接納為會員，本人將遵守協會所制定之章程及專業操守。本人同意遵守協會所訂明之每三年提供最少 20 小時相關持續進修紀錄予協會。本人亦同意將本人姓名及相關資料顯示於協會之網頁上 (除非本人提出異議)。如本人入會申請被拒，所交之入會費用將被發還。

\_\_\_\_\_  
Signature of Applicant 申請人簽署

\_\_\_\_\_  
Date 日期

Please sign below if you do not want your information shown on Registered Financial Planners website in the member directory.  
如您不欲將個人資料放在美國註冊財務策劃師協會網址內的會員名單上，請於下欄簽署。

I prefer not to be listed on the Internet at this time 本人現不欲將個人資料放在美國註冊財務策劃師協會網上 \_\_\_\_\_